



# CHICORY WEALTH PRIVACY NOTICE

<b>FACTS</b>	What does <b>Chicory Wealth (“Chicory”)</b> do with your personal information? <span style="float: right;"><b>Revised: 10/7/2021</b></span>
<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	The types of personal information we collect and share depends on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>■ Social Security number, name, address and income</li> <li>■ Assets, account balances and account transactions</li> <li>■ Investment experience and risk tolerance</li> </ul>
<b>How?</b>	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Chicory chooses to share; and whether you can limit this sharing.

<b>Reasons we can share your personal information</b>	<b>Does Chicory share?</b>	<b>Can you limit sharing?</b>
<b>For our everyday business purposes</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We do not share
<b>For our affiliates’ everyday business purposes</b> information about your transactions and experiences	Yes	No
<b>For our affiliates’ everyday business purposes</b> information about your creditworthiness	No	We do not share
<b>For our affiliates to market to you</b>	Yes	No
<b>For non-affiliates to market to you</b>	No	We do not share

<b>To limit our sharing</b>	<ul style="list-style-type: none"> <li>■ Call 404.294.5917</li> </ul> <p><b>Please note:</b></p> <p>If you are a new customer, we can begin sharing your information [30] days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
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<b>Questions?</b>	Call 404.294.5917 or go to <a href="http://www.chicorywealth.com">www.chicorywealth.com</a> .
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<b>Who we are</b>	
<b>Who is providing this notice?</b>	Chicory Wealth
<b>What we do</b>	
<b>How does Chicory protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Chicory collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>■ open an account or enter into an investment advisory contract</li> <li>■ give us your income information or provide employment information</li> <li>■ tell us about your investment or retirement portfolio or give us your contact information</li> </ul> <p>We also collect your personal information from other companies.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>■ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
<b>Definitions</b>	
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies; <b>N/A</b>
<b>Non-affiliates</b>	Companies not related by common ownership or control. They can be financial and nonfinancial companies; <b>N/A</b>
<b>Joint marketing</b>	A formal agreement between nonaffiliated financial companies that together market financial products or services to you; <b>N/A</b>