



SHAREHOLDER ACTIVISM

Use Your Voice to Enact Corporate Change



One powerful way to align your investments with your beliefs is through **shareholder activism** - a strategy that leverages your ownership in a company to influence corporate practices and behavior.

Shareholder activism is a key tenant of **Chicory Wealth's Socially Conscious Investing** approach. We help clients align their values with their investments using the following tools:



Public Campaigns

Activist groups seeking to raise public awareness about issues related to a company's activities or governance practices may publish a **Shareholder Letter** to garner investor support and gain the attention of the media to put public pressure on corporations.



Shareholder Resolutions

A **Shareholder Resolution** is a formal proposal put forth by a group of shareholders to be voted on during a company's annual general meeting (AGM). Resolutions are a powerful tool to escalate important calls-to-action directly with the company's board. To file a shareholder resolution, the filers must meet certain SEC ownership thresholds.



Proxy Voting

As a shareholder, you have the right to **Vote** on shareholder resolutions or on issues such as electing board members or approving executive compensation. When you are unable to attend the meeting yourself, your advisor can vote proxy on your behalf.

How to Engage Your Advisor

- **Forward shareholder letters of interest** to your advisor. We are always willing to participate as an "institutional signatory" in movements that are in line with our investment values and are important to our clients.
- **To file or co-file a shareholder resolution**, Chicory will help facilitate the process with our partners, the [Interfaith Center on Corporate Responsibility](#) and [As You Sow](#). Let your advisor know if this is something you may be interested in.
- **Chicory will automatically cast proxy votes** on your behalf for resolutions that we know align with your core investment values. If you find a resolution you care about, we encourage you to check with your advisor to confirm that your shares have already been voted. Chicory conducts our own independent research, along with data from [Sustainalytics](#), to monitor active resolutions.

The Greater BDS Movement

Boycott

Divestment

Sanctions



Shareholder engagement as a form of activism is **one part of a multi-faceted approach** to leveraging financial resources as a tool for systemic change.

BDS (Boycott, Divestment, Sanctions) is a Palestinian-led, inclusive, anti-racist human rights movement that is opposed on principle to all forms of discrimination, including anti-semitism and Islamophobia.

Chicory Wealth's core investment portfolio is BDS-compliant, meaning we do not invest in companies that provide military, intelligence, or financial support to international war crimes and human rights violations committed by the Israeli government, or companies that profit from the occupation of Palestinian territories.

Resources for Investors

Chicory's Shareholder Activism Partners:



More Information:

- [ESG Proxy Voting Guideline](#) - Sustainalytics
- [BDS Corporate Complicity Criteria](#) - BDS National Committee
- [Current Shareholder Engagement Initiatives](#) - ICCR
- [UN Sustainable Development Goals](#) - United Nations

Disclaimer: The information, analysis, and opinions expressed herein are for general and educational purposes only. Nothing contained in this commentary is intended to constitute personalized legal, tax, accounting, securities, or investment advice, nor an opinion regarding the appropriateness of any investment, nor a solicitation of any type. An investor may experience loss of principal. Information obtained from third party sources are believed to be reliable but not guaranteed. All opinions and views constitute our judgments as of the date of this webinar and are subject to change at any time without notice.